

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : Not yet assigned
Group Art Unit : 1645
Applicants : Luis Angel Pardo-Fernández, et al.
Application No. : 09/694,777
Confirmation No. : Not yet assigned
Filed : October 23, 2000
For : NOVEL HUMAN K⁺ ION CHANNEL AND THERAPEUTIC APPLICATIONS THEREOF

New York, New York
March 9, 2001

Hon. Commissioner for Patents
Washington, D.C. 20231

Attention: Office of Initial Patent Examination
Customer Service Center

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

This is to request correction of the Filing Receipt issued in connection with the above-identified application.

The "Title" of the invention is incorrect. It should recite "NOVEL HUMAN K⁺ ION CHANNEL AND THERAPEUTIC APPLICATIONS THEREOF"

The "Continuing Data as Claimed by Applicant" is incorrect. It should recite that the present application is a CON of PCT/EP99/02695 filed on April 21, 1999.

The "Foreign Applications" is incorrect. The information "PCT/EP99/02695 04/21/1999" should be deleted.

Applicants request that the Filing Receipt be corrected as indicated in red ink on the enclosed copy and that a new Filing Receipt be issued.

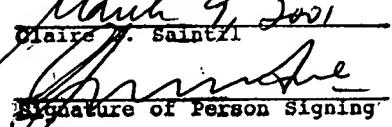
Respectfully submitted,



James F. Haley, Jr. (Reg. No. 27,794)
Attorney for Applicants
Elinor K. Shin (Reg. No. 43,117)
R. Minako Pazdera (Reg. No. 46, 984)
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I hereby Certify that this
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March 9, 2001

Claire A. Saintzli


Signature of Person Signing



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/694,777	10/23/2000	1645	0	MPG-8	37	32	1

Jane A Massaro
 Fish & Neave
 1251 Avenue of the Americas
 New York, NY 10020-1104

FILING RECEIPT



OC000000005665198

Date Mailed: 01/09/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Luis Angel Pardo-Fernandez, Gottingen, GERMANY;
 Walter Stuhmer, Gottingen, GERMANY;
 Synnove Beckh, Gottingen, GERMANY;
 Andrea Bruggemann, Frankfurt, GERMANY;
 Donato Del Camino Fernandez-Miranda, Boston, MA ;
 Araceli Sanchez Perez, Gottingen, GERMANY;
 Rudiger Weseloh, Gottingen, GERMANY;

R E C E I V E D

JAN 17 2001

FISH & NEAVE - PATENT DEPT.
 REFERRED TO RMP
 NOTED BY enp

Continuing Data as Claimed by Applicant

CON of PCT/EP99/02695 04/21/1999

Foreign Applications

EUROPEAN PATENT OFFICE (EPO) EP 98 10 7268.9 04/21/1998
 PCT/EP99/02695 04/21/1999

If Required, Foreign Filing License Granted 01/09/2001

** SMALL ENTITY **

Title

Novel human K⁺ion channel and therapeutic applications thereof

Preliminary Class

435



SECTOR S #3

MPG-8

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New York, New York
March 9, 2001

Hon. Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith: [X] Response to the Notice to File Missing Parts of Nonprovisional Application; [X] copy of the Notice to File Missing Parts of Nonprovisional Application; [X] a Declaration and Power of Attorney; [X] a late filing fee as set forth in 37 C.F.R. 1.16(e) [check for \$65.00]; [X] filing fee [check for \$760.00]; [X] Request for Corrected Filing Receipt; to be filed in the above-identified patent application.

- A check in the amount of \$760.00 in payment of the filing fee is transmitted herewith.
- The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.
- Please charge \$_____ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.

EXTENSION FEE

- The following extension is applicable to the Response filed herewith; [] \$55.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a); [] \$195.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.136(a); [] \$445.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a); [] \$695.00 extension fee for response within fourth month pursuant to 37 C.F.R. § 1.136 (a); [] \$945.00 extension fee for response within fifth month pursuant to 37 C.F.R. 1.136(a).
- A check in the amount of [] \$55.00; [] \$195.00; [] \$445.00; [] \$695.00; [] \$945.00; in payment of the extension fee is transmitted herewith.
- The Commissioner is hereby authorized to charge payment of any additional fees required under 37 C.F.R. § 1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.
- Please charge the [] \$55.00; [] \$195.00; [] \$445.00; [] \$695.00; [] \$945.00; extension fee to Deposit Account No. 06-1075. A duplicate

copy of this transmittal letter is transmitted herewith.

J. F. Haley, Jr.
James F. Haley, Jr.
Registration No. 27,794
Attorney for Applicants
Elinor K. Shin
Registration No. 43,117
R. Minako Pazdera
Registration No. 46,984
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I HEREBY CERTIFY THAT THIS
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ADDRESSED TO: ASSISTANT
COMMISSIONER FOR
PATENTS.
WASHINGTON, D.C. 20231 ON

March 9, 2001
Claire J. Sainiup
Signature
Signature of Person Signing

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New York, New York
March 9, 2001

Hon. Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

This is in response to the January 9, 2001 Notice to File Missing Parts of Nonprovisional Application ("Notice"), a copy of which is enclosed herewith. No extension of time fees are due.

To satisfy the requirements of the Notice, applicants enclose a Declaration and Power of Attorney signed by the inventors in compliance with 37 C.F.R. § 1.63, and enclose a check for \$65.00 in payment of the outstanding surcharge for a small entity. Also enclosed is a check for \$760.00 in payment of the outstanding filing fee for a small entity.

The Commissioner is authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 06-1075. A duplicate copy of this Response is enclosed herewith.

Respectfully submitted,

R. Minako Pazdera

James F. Haley, Jr. (Reg. No. 27,794)
Attorney for Applicants
Elinor K. Shin (Reg. No. 43,117)
R. Minako Pazdera (Reg. No. 46,984)
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I ~~hereby~~ Certify that this
Correspondence is being
Deposited with the U.S.
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Class Mail in an Envelope
Addressed to: ASSISTANT
COMMISSIONER FOR
PATENTS
WASHINGTON, D.C. 20231 on

Claire J. Saintil

Signature of Person Signing

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/694,777	10/23/2000	Luis Angel Pardo-Fernandez	MPG-8

Jane A Massaro
 Fish & Neave
 1251 Avenue of the Americas
 New York, NY 10020-1104

FORMALITIES LETTER



OC000000005665199

Date Mailed: 01/09/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$405.
 - \$270 for 30 total claims over 20.
 - \$135 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

- **The balance due by applicant is \$ 825.**

03/19/2001 MABDI1 00000092 09694777

01 FC:205
 02 FC:201
 03 FC:204
 04 FC:203

65.00 QP
 355.00 QP
~~145.00 QP~~ of this notice **MUST** be returned with the reply.
 270.00 QP

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

